990

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 2014 Open to Public Inspection

<u>A</u>	For the	e 2014 c	alendar year, or tax year begi	nning $0/$	/01/14	, and ending	06/30/	15		
В	Check if a	applicable:	C Name of organization						D Employer	identification number
Ш	Address c	change	NEW	YORK B	OTANICAL	GARDEN				
\Box	Name cha	ange	Doing business as						13-1	693134
H			Number and street (or P.O. box if mai		to street address	S)		Room/suite	E Telephon	
닏	Initial retur		2900 SOUTHERN BLY		-1				/18-	817-8700
\square	Final retur terminated		City or town, state or province, country	y, and ZIP or for	reign postal code				1	
	Amended	return	BRONX		NY 104	158			G Gross red	eipts\$ 132,542,951
H			F Name and address of principal officer					H(a) Is this a g	roup return for	subordinates? Yes X No
Ш	Application	n pending	Gregory Long,		dent			rife) is this a g	Toup return for s	
			2900 Southern	Blvd				H(b) Are all su	bordinates incl	uded? Yes No
_			Bronx		NY	10458		If "No	" attach a list.	(see instructions)
1	Tax-exem	mpt status:	X 501(c)(3) 501(c) () 4 (i	nsert no.)	4947(a)(1) or	527			
J	Website:	: ▶ W	WW.NYBG.ORG					H(c) Group ex	emption number	er 🕨
K	Form of o	organization:	X Corporation Trust	Association	Other >		L	Year of formation: 1		M State of legal domicile: NY
F	art I	Su	mmary							
	1 E		scribe the organization's mission	n or most si	gnificant activ	vities:				
Φ	1		Schedule O		ga					
nc										
rng										
Governance	2 0	Check thi	s box ▶ ☐ if the organization	discontinuos	lita anaration	o or dispensed of a	nara than O	E0/ of its not see		
							nore than 2	.5% Of its fiet ass	1 1	65
ون در			f voting members of the govern							
ities	5 7	Tatal a	of independent voting members	of the gover	ning body (Pa	art VI, line 1b)				63
Activities			ber of individuals employed in		r 2014 (Part	V, line 2a)			5	938
A			nber of volunteers (estimate if n						6	1500
			elated business revenue from Pa			2			7a	110,341
_	1 d	Net unrela	ated business taxable income fr	om Form 99	0-T, line 34			T	7b	0
	0 0	Contributi	one and grants (Dart VIII line 4	la \				Prior Ye		Current Year
ne			ons and grants (Part VIII, line 1						4,188	33,748,911
Revenue			service revenue (Part VIII, line 2						7,941	17,679,098
Rev			nt income (Part VIII, column (A),						0,989	15,358,352
_			enue (Part VIII, column (A), lines						9,774	1,111,553
_			enue – add lines 8 through 11 (r			nn (A), line 12)		89,05	2,892	67,897,914
			nd similar amounts paid (Part IX							0
	14 E	Benefits p	oald to or for members (Part IX,	column (A),	line 4)					0
S	15 8		other compensation, employee			(A), lines 5-10)		41,66	7,913	43,452,855
nse	16a F	Profession	nal fundraising fees (Part IX, co	lumn (A), lin	e 11e)			24	8,486	225,951
Expenses	b 7	Total fund	fraising expenses (Part IX, colu	mn (D), line	25) ▶	5,635,5	44			
Ш	17 (Other exp	enses (Part IX, column (A), line	es 11a-11d,	11f-24e)			32,58	5,060	33,851,098
	18	Total exp	enses. Add lines 13-17 (must e	qual Part IX	column (A),	line 25)		74,50	1,459	77,529,904
	19 F		less expenses. Subtract line 18						1,433	-9,631,990
5	8							Beginning of Cu		End of Year
Net Assets or	20	Total asse	ets (Part X, line 16)					619,36	8,935	580,487,363
t As	21	Total liabi	lities (Part X, line 26)					95,50	4,070	94,515,053
원	22 1	Net asset	s or fund balances. Subtract line	e 21 from lin	e 20			523,86	4,865	485,972,310
F	art II	Sig	gnature Block							
			perjury, I declare that I have examin							wledge and belief, it is
tr	ue, corre	ect, and co	emplete Declaration of preparer (other	ner than office	r) Is based on	all information of wh	hich preparer	has any knowledg	e.	1 1
			annellus	Ne D	wic	αo			5	12/16
Sig	gn	S	ignature of officer						Daye	7-7/10
He	_	A	AnneMarie Blanc	cato			Chie	f Financ:	ial Of	ficer
		T	ype or print name and title				01110			
_		Print/Type	preparer's name		Preparer's signa	iture		Date	Check	if PTIN
Pai	id								L/16 self-en	U"
Pre	parer	Firm's no	me							7-7-5
	e Only	Firm's na	IIG F						Firm's EIN ▶	
	,								_	
Mar	v the ID	Firm's ad		hours at	2 /222 1-1-	ntio no.			Phone no.	
			s this return with the preparer s			aions)				Yes No
DAA		vork Redu	ction Act Notice, see the separat	e instruction	5.					Form 990 (2014)

Part III	Statement of Program Service Accomplishments Check if Schedule O contains a response or note to any line in this Part III	X
•	escribe the organization's mission:	
See Sc	chedule O	
2 Did the c	organization undertake any significant program services during the year which were not listed on the	
	m 990 or 990-EZ?	Yes X No
	describe these new services on Schedule O.	🗀 🗀
3 Did the c	organization cease conducting, or make significant changes in how it conducts, any program	
services?		Yes X No
	describe these changes on Schedule O.	
	the organization's program service accomplishments for each of its three largest program services, as measured by	
	s. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, expenses, and revenue, if any, for each program service reported.	
ine ioiai	expenses, and revenue, if any, for each program service reported.	
4a (Code:) (Expenses \$ 19,676,079 including grants of \$) (Revenue \$	289,636)
Plant	Science - Collecting for and maintaining the Garden	······································
	ium for public use, including research. The Botanical Gar	
	ists, librarians, staff, graduate students, honorary resea	
	ates, and curators perform fieldwork and conduct cutting-e	
	ch in plant systematics, economic botany, ecology, molecul	ar
system	atics, and plant genomics.	
• • • • • • • • • • • • • • • • • • • •		
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• • • • • • • • • • • • • • • • • • • •		

4b (Code:) (Expenses \$ 18,147,603 including grants of \$) (Revenue \$	8,944,322)
	ulture and living collections - preparation of research, $\mathfrak m$	
	dens and exhibits for public use and enjoyment. Public ex	
and re	estivals to enhance the experience of the visiting public. I's living collections contain more than one million plants	The
garden	s and collections, including: The Enid A. Haupt Conservato	rv the
	's preeminent Victorian-style glasshouse; The Nolen Greenh	
Living	Collections; The three-and-one-half-acre Native Plant Gar	den; The
eleven	Collections; The three-and-one-half-acre Native Plant Gar -acre Azalea Garden, which includes nearly one mile of woo	dland paths
meande	ring beneath ancient native oaks, tulip trees, and sweetgu	ms and
	nded by more than 70,000 plants; The fifty-acre Thain Fami	
the la	argest extant forest that once covered much of New York Cit	У.•
	\(\begin{array}{cccccccccccccccccccccccccccccccccccc	0 405 014 1
4c (Code:) (Expenses \$ 16,051,310 including grants of \$) (Revenue \$ ion and Outreach - Development, organization, and	
	tation of educational courses. The Garden's adult educati	on program
offers	750 classes at the Botanical Garden and the Midtown Educa	tion Center
	nhattan. Certificates are offered in seven program areas:	
	Illustration, Botany, Floral Design, Gardening, Horticultu	
	y, Horticulture, and Landscape Design. Three annual lectu	
	ms, Landscape Design Portfolios Lecture Series, Winter Lec	
	, and Andrew Carnegie Distinguished Lecture, feature inter	nationally
recogn	ized speakers.	
• • • • • • • • • • • • • • • • • • • •		
• • • • • • • • • • • • • • • • • • • •		
4d Other pro	ogram services (Describe in Schedule O.)	
	es \$ 7,206,865 including grants of \$) (Revenue \$ 6,009,9	26)
	gram service expenses 11 61 081 857	

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"			
	complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to			
	candidates for public office? If "Yes," complete Schedule C, Part I	3		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h)			
	election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,			
	assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C,			
	Part III	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors			
	have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If			
	"Yes," complete Schedule D, Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"			
	complete Schedule D, Part III	8	X	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a			
	custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or			
	debt negotiation services? If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted			
	endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI,			
	VII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes,"			
	complete Schedule D, Part VI	11a	X	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	X	
С	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets			
	reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	<u> </u>	X
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses		l	
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete		l	
	Schedule D, Parts XI and XII	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if			
	the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking,			
	fundraising, business, investment, and program service activities outside the United States, or aggregate			
	foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or			
	for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other			
	assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	<u> </u>	X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on	_	,.	
	Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on			
	Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?	_		
	If "Yes," complete Schedule G, Part III	19	-	X
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	-	X
<u>b</u>	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules (continued)

			Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		X
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the			
	organization's current and former officers, directors, trustees, key employees, and highest compensated			
	employees? If "Yes," complete Schedule J	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than			
	\$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b			
	through 24d and complete Schedule K. If "No," go to line 25a	24a	X	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		X
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year			
	to defease any tax-exempt bonds?	24c		X
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		X
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior			
	year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?			
	If "Yes," complete Schedule L, Part I	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any			
	current or former officers, directors, trustees, key employees, highest compensated employees, or			
	disqualified persons? If "Yes," complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee,			
	substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			l
	entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L,			
	Part IV instructions for applicable filing thresholds, conditions, and exceptions):			7.7
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete		٠,,	
	Schedule L, Part IV	28b	X	
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof)		37	
	was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c	X	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified			\ ₃₂
24	conservation contributions? If "Yes," complete Schedule M	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N,	24		
22	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes,"	31		X
32	accordate Cohadula N. Dart II	32		X
33	complete Schedule N, Part II Did the organization own 100% of an entity disregarded as separate from the organization under Regulations	32		
33	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III,	33		22
34	an IV and Dark V. France	34		Х
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a	000		
.,	controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable	555		
55		36		X
37	related organization? If "Yes," complete Schedule R, Part V, line 2 Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
٠.	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,			
	Dest VII	37		Х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and	<u> </u>		T
	19? Note. All Form 990 filers are required to complete Schedule O	38	X	
			000	

Pa	Int V Statements Regarding Other IRS Filings and Tax Compliance	,				
	Check if Schedule O contains a response or note to any line in this Part \	<i>/</i>				L L
10	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	938		Yes	No
1a b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
C	Did the organization comply with backup withholding rules for reportable payments to vendors and	ID	0			
C	reportable gaming (gambling) winnings to prize winners?			1c	Х	
22	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax	 I I				
2a		2a	938			
h	Statements, filed for the calendar year ending with or within the year covered by this return If at least one is reported on line 2a, did the organization file all required federal employment tax return	$\overline{}$		2b	Х	
b				20	Λ	
2-	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			20	Х	
3a _					X	-
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule C			3b		\vdash
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other a		/			
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	anciai		40		_v
L	account)?			4a		X
D	If "Yes," enter the name of the foreign country: u					
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial A	Accounts	5			
-	(FBAR).			F		- V
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?					X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transact					
C				<u>5c</u>		\vdash
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the			0-	77	
	organization solicit any contributions that were not tax deductible as charitable contributions?			6a	X	\vdash
b	If "Yes," did the organization include with every solicitation an express statement that such contribution	ns or		0.	77	
_	gifts were not tax deductible?			6b	X	
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for g	joods		7-	v	
					X	\vdash
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Δ	-
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			7.		_V
	required to file Form 8282?			7c		X
a	If "Yes," indicate the number of Forms 8282 filed during the year	7d		70		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit or					X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contra					<u> </u>
g	If the organization received a contribution of qualified intellectual property, did the organization file For				v	\vdash
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization			7h	X	
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintaine	-				
	sponsoring organization have excess business holdings at any time during the year?			8		
9	Sponsoring organizations maintaining donor advised funds.			00		
a	Did the sponsoring organization make any taxable distributions under section 4966?			9a		-
b 10	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b		
10	Section 501(c)(7) organizations. Enter:	10a				
a h	Initiation fees and capital contributions included on Part VIII, line 12 Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10a 10b				
b 11		ַ נטט	<u> </u>			
11	Section 501(c)(12) organizations. Enter: Gross income from members or shareholders	11a				
a h	Gross income from members or shareholders Gross income from other sources (Do not net amounts due or paid to other sources	114				
b		11b				
122	against amounts due or received from them.) Section 4947(a)(1) non-exempt charitable trusts. Is the organization filling Form 990 in lieu of Form	$\overline{}$	1	122		
12a b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	1 1041?		12a		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	LIZD				
	le the organization licensed to issue qualified health plans in more than one state?			13a		
а	Note. See the instructions for additional information the organization must report on Schedule O.					
h	Enter the amount of reserves the organization is required to maintain by the states in which					
b	· · · · · · · · · · · · · · · · · · ·	13b				
	the organization is licensed to issue qualified health plans Enter the amount of reserves on hand	42-				
с 14а	Did the consciention receives any consents for indeed to relieve and interest the terror and			14a		X
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule					
<u> </u>	ii 103, has it lieu a form 120 to report these payments? If two, provide an explanation in Schedule	<u> </u>		עדו		

13-1693134 Form 990 (2014) NEW YORK BOTANICAL GARDEN Page 6 Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions Check if Schedule O contains a response or note to any line in this Part VI Section A. Governing Body and Management Yes No Enter the number of voting members of the governing body at the end of the tax year 65 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. Enter the number of voting members included in line 1a, above, who are independent 63 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with 2 any other officer, director, trustee, or key employee? Χ Did the organization delegate control over management duties customarily performed by or under the direct 3 supervision of officers, directors, or trustees, or key employees to a management company or other person? 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? Did the organization become aware during the year of a significant diversion of the organization's assets? 5 6 6 Did the organization have members or stockholders? 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? Are any governance decisions of the organization reserved to (or subject to approval by) members, b stockholders, or persons other than the governing body? 7b Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8 The governing body? 8a Each committee with authority to act on behalf of the governing body? 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) No Did the organization have local chapters, branches, or affiliates? 10a Χ If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a Describe in Schedule O the process, if any, used by the organization to review this Form 990. Did the organization have a written conflict of interest policy? If "No," go to line 13 12a Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done 12c Did the organization have a written whistleblower policy? 13 13 Did the organization have a written document retention and destruction policy? 14 14 Χ 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official Χ 15a Other officers or key employees of the organization Χ 15b If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? Χ 16a If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed **u** NY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. Own website X Another's website X Upon request Other (explain in Schedule O) 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. 20 State the name, address, and telephone number of the person who possesses the organization's books and records: \mathbf{u}

200th Street & Southern Blvd

NY 10458-5126 718-817-8700

Bronx

AnneMarie Blancato, CFO

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the orga		/ rela	ted			ion c	omp			
(A) Name and Title	(B) Average hours per week (list any hours for	box	c, unle	Pos check ess pe nd a	more rson i	than o s both or/truste	an ee)	(D) Reportable compensation from the organization	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the
	related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(W-2/1099-MISC)	(2 1000 11100)	organization and related organizations
(1) Maureen K. Chilt										
Chairman	1.00	Х						0	0	0
(2) Lionel Goldfrank										
Excutive Committee C	1.00	X						0	0	0
(3) Lewis B. Cullman										
Sr. Vice Chairman	1.00	X						0	0	0
(4) Mrs. Nicholas J.		ri	ad	is						
Vice Chairman	1.00	X						0	0	0_
(5) Mr. Thomas J. Hu										
Chairman Emeritus	1.00	Х						0	0	0
(6) Mr. Wilson Nolen										
Chairman Emeritus	1.00	Х						0	0	0
(7) Edward P. Bass	1 00									
Vice Chairman	1.00	Х						0	0	0
(8) James Benenson,	Jr.									
Vice Chairman	1.00	Х						0	0	0
(9) Larry E. Condon										
Vice Chairman	1.00	X						0	0	0
(10) Eugene P. Grisan										
Vice Chairman	1.00	Х						0	0	0
(11) Kenneth Roman	1 00									
Vice Chairman	1.00	X						0	0	0

DAA

Part VII Section A. Officers						21/22		13-109				Pa	age c
(A) (B) Name and title Average hours per week (list any hours for			o not x, unle	(C Posit check r ess per nd a di	ion nore	than o	ne an	(D) Reportable compensation from the	(E) Reportable compensation from related organizations	co	(F) Estimate amount other mpensa	of ition	
	hours for related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	organization (W-2/1099-MISC)	(W-2/1099-MISC)	oı a	from th rganizat Ind rela ganizati	ion ted	
(12) William C. Steen	e, Jr. 1.00 0.00	Х						0	0				0
(13) Shelby White Vice Chairman	1.00	X						0	0				0
(14) Mrs. Thomas J. I Secretary / Treasure	ubbard 1.00 0.00	X		X				0	0				0
(15) Gregory R. Long President	35.00			X				659,547	0		29	0,6	560
(16) Joseph V. Cossak Assistant Treasurer	35.00 0.00			X				389,412	0		4	1,6	560
(17) AnneMarie Blanca 2nd Assistant Treasu	35.00 0.00			X				202,525	0		1	.8,4	430
(18) Celeste Kirton Assistant Secretary	35.00 0.00			Х				116,219	0		2	29,(003
(19) Jennifer Rominie Vice President	cki 35.00 0.00				X			250,494	0		2	22,7	795
1b Sub-total	ets to Part VII, \$						u u u	1,618,197 1,110,389 2,728,586			40 10)2,5)1,0)3,5	548 046
Total number of individuals (in reportable compensation from	cluding but not li	mited	d to	those		ed ab	ove		\$100,000 of			Yes	No
 3 Did the organization list any for employee on line 1a? If "Yes," 4 For any individual listed on line organization and related organ individual 	complete Schede 1a, is the sum izations greater	lule of realthan	J for porta \$150	such able c 0,000	indi comp ? If	vidua ensa "Yes	ation ," co	n and other compensation from plete Schedule J for sucl	rom the		3	X	Х
Did any person listed on line of for services rendered to the or Section B. Independent Contractor	Ia receive or acc ganization? If "Y	rue (comp	oensa	tion	from	any	y unrelated organization or	individual		5		X
Complete this table for your five compensation from the organization.	ve highest compe zation. Report co							ar year ending with or withir	n the organization's tax yea	r.		(C)	
E. W. Howell Woodbury		1	17	97			C	sways Park Dr Construction	(B) tion of services			(C) npensation, 336	
LaPlaca Cohen Advert New York Hall Capital Partner	s LLC	1		10 C			<i>P</i> ri	24th Street Advertising time Plaza-Ste 5			1	,524	<u>,028</u>
San Francisco FGI Corporation Bronx		· 9		1	.90	1 <i>P</i>	me	Investment Advethyst Street Construction	, 				,899 ,277
Frost Productions Maspeth Total number of independent of	NY	1	13	78			: 7 E	780167 Electrical Con	ıt				,389
received more than \$100,000								o notou above, will	45				

Reportable

Reportable

Average

Part VII

(A)

Name and title

(F)

Estimated

	hours per week (list any hours for	bo	x, unle	ess pe	rson i	than o s both or/truste	an	compensation from the organization	compensation from related organizations (W-2/1099-MISC)	amount of other compensation from the		
	related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(W-2/1099-MISC)	(W-211099-WIGC)	organization and related organizations		
(12) Mark Cupkovic Vice President	35.00				x			201,610	0	18,347		
(13) Todd Forrest	35.00							2017010	-	10,017		
Vice President	0.00					Х		211,746	0	19,269		
(14) Karen Yesnick	35.00											
Vice President (15) Terry Skoda	0.00					Х		188,324	0	17,137		
Vice President	35.00					Х		175,732	0	15,992		
(16) Michael Balick Vice President	35.00					Х		167,099	0	15,206		
(17) Dennis Stevenson Vice President	35.00 0.00					Х		165,878	0			
(18)												
(19)												
1b Sub-total								1,110,389		101,046		
c Total from continuation shee d Total (add lines 1b and 1c)	ets to Part VII,	Secti	on A	٩				1,110,305		101,040		
Total number of individuals (increportable compensation from	cluding but not li	mited	to t					e) who received more than \$	\$100,000 of			
 3 Did the organization list any foemployee on line 1a? If "Yes," 4 For any individual listed on line organization and related organization. 	complete Schede 1a, is the sum izations greater	lule J of re than	J for porta \$150	such able 0,000	indi comp)? If	vidua pensa "Yes	al atior ," co	n and other compensation from plete Schedule J for sucl	rom the			
individual	a receive or acc	rue o	comp	ensa	ation	from	an	y unrelated organization or	individual			
Section B. Independent Contracto 1 Complete this table for your five	rs											
compensation from the organiz								ar year ending with or withir	n the organization's tax year	(C) Compensation		
Name and	business address							Descript	ion of services	Compensation		
2 Total number of independent or received more than \$100,000 or								e listed above) who				
DAA	or compensation	11011		orga	ui ilZc	atiOI I	u			Form 990 (2014)		

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(C)

Position

13-1693134 Form 990 (2014) NEW YORK BOTANICAL GARDEN Page 9 Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII (C) Unrelated (D) Revenue (A) (B) Related or Total revenue exempt business revenue excluded from tax function 512-514 revenue Contributions, Gifts, Grants and Other Similar Amounts 1a Federated campaigns **b** Membership dues 1b **c** Fundraising events 3,543,934 1c 1d **d** Related organizations **e** Government grants (contributions) 1e 13,894,724 **f** All other contributions, gifts, grants, and similar amounts not included above 16,310,253 \$ 4,033,695 g Noncash contributions included in lines 1a-1f: 33,748,911 h Total. Add lines 1a-1f Revenue Busn. Code 713990 8,944,322 8,490,764 453,558 2a Admissions & tours 713990 4,601,373 4,601,373 **b** Garden membership program Program Service 2,435,214 2,435,214 611600 C Tuition & fees 722513 1,408,553 1,408,553 d Auxiliary services 511190 289,636 289,636 e Scientific publications f All other program service revenue 17,679,098 g Total. Add lines 2a-2f u 3 Investment income (including dividends, interest, and other similar amounts) 787,656 787,656 Income from investment of tax-exempt bond proceeds ${\bf u}$ Royalties (i) Real (ii) Personal 6a Gross rents **b** Less: rental exps. c Rental inc. or (loss) d Net rental income or (loss) 7a Gross amount from (i) Securities (ii) Other sales of assets 77,555,998 other than inventory **b** Less: cost or other 62,985,302 basis & sales exps. 14,570,696 c Gain or (loss) 14,570,696 14,570,696 d Net gain or (loss) **8a** Gross income from fundraising events Other Revenue (not including \$ 3,543,934 of contributions reported on line 1c). 625,400 See Part IV, line 18 a 1,458,670 **b** Less: direct expenses **b c** Net income or (loss) from fundraising events -833,2709a Gross income from gaming activities. See Part IV, line 19 a **b** Less: direct expenses c Net income or (loss) from gaming activities 10a Gross sales of inventory, less returns and allowances 3,321,853 **b** Less: cost of goods sold 1,659,735 b

1,551,777

18,777,317

110,341

563,899

1,662,118

282,705

282,705 67,897,914

Busn. Code

812900

u

15,641,057 Form **990** (2014)

282,705

c Net income or (loss) from sales of inventory ...

Miscellaneous Revenue

d All other revenue

e Total. Add lines 11a–11d

12 Total revenue. See instructions.

11a Licensing fees

Form 990 (2014) NEW YORK BOTANICAL GARDEN 13-1693134 Page 10 Statement of Functional Expenses Part IX Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX (B) Program service expenses Do not include amounts reported on lines 6b, Total expenses Management and general expenses Fundraising 7b, 8b, 9b, and 10b of Part VIII. expenses Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 Grants and other assistance to domestic individuals. See Part IV, line 22 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 Benefits paid to or for members Compensation of current officers, directors, trustees, and key employees 1,819,807 885,463 354,077 580,267 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) 30,633,490 22,956,655 5,260,375 2,416,460 Other salaries and wages Pension plan accruals and contributions (include 2,906,766 2,135,483 502,873 268,410 section 401(k) and 403(b) employer contributions) Other employee benefits 5,609,155 4,831,937 38,601 738,617 229,338 2,483,637 1,824,627 429,672 Payroll taxes 10 Fees for services (non-employees): a Management 182,607 182,607 Legal 195,000 195,000 Accounting 160,000 160,000 Lobbying 225,951 225,951 Professional fundraising services. See Part IV, line 17 Investment management fees 697,352 363,442 333,910 **g** Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) 3,338,588 2,876,190 337,179 125,219 Advertising and promotion 2,361,812 2,361,812 12 319,748 3,546,247 4,062,270 196,275 13 Office expenses Information technology 14 15 Royalties 2,900,905 2,894,785 6,120 16 Occupancy 1,447,398 1,319,529 32,595 95,274 Travel 17 Payments of travel or entertainment expenses for any federal, state, or local public officials Conferences, conventions, and meetings 497,433 372,141 62,135 63,157 19 3,060,453 3,060,453 20 Interest Payments to affiliates 21 Depreciation, depletion, and amortization 9,512,243 8,085,406 856,102 570,735 22 1,033,782 1,033,782 23 Insurance Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)

2,513,970

1,193,663

77,529,904

352,845

340,777

1,916,603

61,081,857

994,126

344,925

312,033

512,333

166,650

28,744

10,812,503

25

DAA

Misc - other

Equpt purchases & rentals Membership discounts

d Non cap constr exps
e All other expenses

Total functional expenses. Add lines 1 through 24e

Joint costs. Complete this line only if the organization reported in column (B) joint costs

5,635,544

85,034

32,887

7,920

Par	t X	Balance Sheet					
		Check if Schedule O contains a response or note to	any lir	ne in this Part X			
					(A) Beginning of year		(B) End of year
	1	Cash—non-interest bearing				1	
	2	Savings and temporary cash investments			14,462,333	2	16,994,312
	3	Pledges and grants receivable, net			44,813,712	3	32,502,479
		Accounts receivable, net			1,203,839	4	1,158,437
	5	Loans and other receivables from current and former office					
		trustees, key employees, and highest compensated employees	ovees.	·			
		Complete Part II of Schedule L				5	
	6	Loans and other receivables from other disqualified perso					
		4958(f)(1)), persons described in section 4958(c)(3)(B), ar					
		sponsoring organizations of section 501(c)(9) voluntary el					
S		organizations (see instructions). Complete Part II of Sched		· · · · · · · · · · · · · · · · · · ·		6	
Assets		Notes and loans receivable, net				7	
As	8	laccastasiaa fan aala an cea			1,005,415	8	964,933
		Daniel de conservation de la familia			1,747,659	9	1,235,433
1		Land, buildings, and equipment: cost or					
		other basis. Complete Part VI of Schedule D	10a	307,034,224			
				98,796,372	211,539,228	10c	208,237,852
1	1	Investments—publicly traded securities			152,326,499	11	125,974,668
1	2	Investments—other securities. See Part IV, line 11			192,270,250	12	193,419,249
1	3	Investments—program-related. See Part IV, line 11				13	
1		Intangible assets				14	
1	5	Other assets. See Part IV, line 11				15	
1	6	Total assets. Add lines 1 through 15 (must equal line 34)			619,368,935	16	580,487,363
1	7	Accounts payable and accrued expenses			5,425,126	17	5,959,799
1		Grants payable				18	
1	9	Deferred revenue			658,475	19	1,625,156
2	20	Tax-exempt bond liabilities			68,090,000	20	65,410,000
2	21	Escrow or custodial account liability. Complete Part IV of S	Schedu	ile D		21	
တ္က 2	22	Loans and other payables to current and former officers, or	director	rs,			
≝		trustees, key employees, highest compensated employees	s, and				
Liabilities		disqualified persons. Complete Part II of Schedule L				22	
_ 2	23	Secured mortgages and notes payable to unrelated third	parties		4,374,995	23	3,874,991
2	24	Unsecured notes and loans payable to unrelated third par	rties			24	
2		Other liabilities (including federal income tax, payables to					
		parties, and other liabilities not included on lines 17-24). C	Complet	te Part X	16 055 454		15 645 105
		of Schedule D			16,955,474	25	17,645,107
2		Total liabilities. Add lines 17 through 25			95,504,070	26	94,515,053
ွှ		Organizations that follow SFAS 117 (ASC 958), check	here u	u X and			
ğ		complete lines 27 through 29, and lines 33 and 34.			054 702 001		040 041 600
aga 2		Unrestricted net assets			254,703,291	27	248,841,692
<u>m</u> 2		Temporarily restricted net assets			89,327,277	28	56,801,321
ع إ	29	Permanently restricted net assets		179,834,297	29	180,329,297	
<u>_</u>		Organizations that do not follow SFAS 117 (ASC 958)	, cneci	k here u and			
ts (complete lines 30 through 34.				20	
SS 3					30		
٦		Paid-in or capital surplus, or land, building, or equipment t				31	
		Retained earnings, endowment, accumulated income, or o			523,864,865	32	485,972,310
						33	
3	84	Total liabilities and net assets/fund balances	<u></u>		619,368,935	34	580,487,363

Pa	rt XI Reconciliation of Net Assets					
	Check if Schedule O contains a response or note to any line in this Part XI	<u></u>				
1	Total revenue (must equal Part VIII, column (A), line 12)	1	6	7,89	97,9	914
2	Total expenses (must equal Part IX, column (A), line 25)	2	7	7,5	29,9	<u> 904</u>
3	Revenue less expenses. Subtract line 2 from line 1	3		9,6		
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		3,80		
5	Net unrealized gains (losses) on investments	5	-2	7,70)4,1	<u> 170</u>
6	Donated services and use of facilities	6				
7	Investment expenses	7				
8	Prior period adjustments	8				
9	Other changes in net assets or fund balances (explain in Schedule O)	9		-5	56,3	395
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line					
	33, column (B))	10	48	5,9	72,3	310
Pa	rt XII Financial Statements and Reporting					
	Check if Schedule O contains a response or note to any line in this Part XII					
					Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other					
	If the organization changed its method of accounting from a prior year or checked "Other," explain in					
	Schedule O.					
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?			2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or					
	reviewed on a separate basis, consolidated basis, or both:					
	Separate basis Consolidated basis Both consolidated and separate basis					
b	Were the organization's financial statements audited by an independent accountant?			2b	Χ	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a					
	separate basis, consolidated basis, or both:					
	Separate basis X Consolidated basis Both consolidated and separate basis					
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight					
	of the audit, review, or compilation of its financial statements and selection of an independent accountant?			2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in					
	Schedule O.					
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in					
	the Single Audit Act and OMB Circular A-133?			3a	X	<u> </u>
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the					1
	required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.			3b	Χ	

Form **990** (2014)

SCHEDULE A

(Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

u Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service

u Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Inspection Employer identification number

Name	of the	e organization	NEW VORK BOT	'ANICAL GARDEN			Employer ident	ification number 2121	
P	art I	Reas		Status (All organizations	must co	omplete			-
				e it is: (For lines 1 through 11, ch		•		113.	-
1	Π̈́		•	ociation of churches described in	•	,			
2	Н		cribed in section 170(b)(1)(3000001	170(5)(1	/\^-/\'' <i>)</i> ·		
3	Н			ce organization described in sec	tion 170	(b)(1)(A)(i	iii).		
4	Н	•		I in conjunction with a hospital d			•	ospital's name.	
•	ш	city, and state	•	an conjunction man a mospital a			(() () () = ()	opiai o namo,	
5	П	•		of a college or university owned of	or operate	d by a go	overnmental unit described in		
	ш	•	(b)(1)(A)(iv). (Complete Part	•		, 3-			
6	П			overnmental unit described in se	ection 17	0(b)(1)(A)(v).		
7	X		•	substantial part of its support from			• •		
	_	_	section 170(b)(1)(A)(vi). (C		Ü				
8	П	A community	trust described in section	170(b)(1)(A)(vi). (Complete Part	II.)				
9	П	An organizati	on that normally receives: (1) more than 33 1/3% of its supp	ort from c	ontributio	ns, membership fees, and gros	SS	
	_	receipts from	activities related to its exem	pt functions—subject to certain e	exceptions	, and (2)	no more than 33 1/3% of its		
		support from	gross investment income an	d unrelated business taxable inc	ome (less	section	511 tax) from businesses		
	_	acquired by the	he organization after June 30	0, 1975. See section 509(a)(2).	(Complet	e Part III.)		
10	Ш	J		exclusively to test for public safe	,		` ^ /		
11		An organization	on organized and operated e	exclusively for the benefit of, to p	erform the	e function	s of, or to carry out the purpos	es of	
		one or more	publicly supported organizati	ons described in section 509(a)	(1) or sec	ction 509	(a)(2). See section 509(a)(3).	Check	
	$\overline{}$		•	cribes the type of supporting org			•		
а	Ш			d, supervised, or controlled by i					
		• •	• , , ,	regularly appoint or elect a ma	jority of th	e directo	rs or trustees of the supporting		
	\Box	•	You must complete Part I		21 2				
b	Ш			ised or controlled in connection					
			• •	organization vested in the same	persons	tnat contr	oi or manage the supported		
_	П	•	s). You must complete Par			unith on	d functionally integrated with		
С	Ш			orting organization operated in c tions). You must complete Part			• •		
d	\Box	• •	• ,,,	supporting organization operated					
ű	Ш		• •	panization generally must satisfy					
			, ,	complete Part IV, Sections A		•			
е	П	•	,	d a written determination from the					
	ш		· ·	nctionally integrated supporting of		•	, , , , , , , , , , , , , , , , , , , ,		
f	Ent	ter the number	of supported organizations						_
g	Pro	vide the follow	ving information about the si	upported organization(s).					
(i		e of supported	(ii) EIN	(iii) Type of organization		organization	(v) Amount of monetary	(vi) Amount of	
	org	ganization		(described on lines 1–9 above or IRC section		ur governing ment?	support (see instructions)	other support (see instructions)	
				(see instructions))	docui	TICHE:	mandellona)	instructions)	
					Yes	No			_
(A)									
									_
(B)									
<u>(C)</u>									-
(C)									
(D)									-
(-)									
(E)									-
									_
T-4-							i	i e	

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
Caler	ndar year (or fiscal year beginning in) u	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	63,352,616	65,656,187	59,220,191	64,707,386	51,375,46	3 304,311,843
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3	63,352,616	65,656,187	59,220,191	64,707,386	51,375,46	304,311,843
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						4 400 100
	Public support. Subtract line 5 from line 4.						4,408,190
<u>6</u> Sec	tion B. Total Support						299,903,653
	ndar year (or fiscal year beginning in) u	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
7	Amounts from line 4	63,352,616	65,656,187	59,220,191	64,707,386	51,375,46	``
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	9,089,638	6,410,121	5,114,567	3,810,743	2,872,54	
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	355,498	487,235	356,618	575,219	282,70	5 2,057,275
11	Total support. Add lines 7 through 10						333,666,732
12	Gross receipts from related activities, etc.	(see instructions)				12	66,977,369
13	First five years. If the Form 990 is for the	-		•			_
	organization, check this box and stop here	<u></u>					b
Sec	tion C. Computation of Public St						<u> </u>
14	Public support percentage for 2014 (line 6,	column (f) divided	by line 11, column	(f))		14	89.88%
15	Public support percentage from 2013 Sche	dule A, Part II, line	14				87.91 %
16a	33 1/3% support test—2014. If the organ				1/3% or more, ch	eck this	
	box and stop here. The organization quali						> X
b	33 1/3% support test—2013. If the organi						
	check this box and stop here. The organiz						▶ ∟
17a	10%-facts-and-circumstances test—201	-					
	10% or more, and if the organization meet				-		
	Part VI how the organization meets the "fa organization						▶ [
b	10%-facts-and-circumstances test—201					line	
	15 is 10% or more, and if the organization				•		
	Explain in Part VI how the organization me			-			. —
	supported organization						▶ ∟
18	Private foundation. If the organization did	I not check a box or	n line 13, 16a, 16b,	17a, or 17b, checl	k this box and see		
	instructions						▶ ∟

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	tion A. Public Support	•		•	•	•	
Caler	ndar year (or fiscal year beginning in) u	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
7a	Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
С	Add lines 7a and 7b						
8	Public support (Subtract line 7c from line 6.)						
Sec	tion B. Total Support						
	ndar year (or fiscal year beginning in) u	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) 2014	(f) Total
9	Amounts from line 6	(3)	(**/	(4)	(4)		()
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
С	Add lines 10a and 10b						
11	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First five years. If the Form 990 is for the	organization's first	t, second, third, fou	ırth, or fifth tax yea	r as a section 501	(c)(3)	
	organization, check this box and stop here	•		•			▶ □
Sec	tion C. Computation of Public Se						
15	Public support percentage for 2014 (line 8,						%
16	Public support percentage from 2013 Sche						%
	tion D. Computation of Investme						
17	Investment income percentage for 2014 (li	ne 10c, column (f)	divided by line 13	, column (f))		17	<u>%</u>
18	Investment income percentage from 2013	Schedule A, Part I	III, line 17	. 44 3 5 - 45 1			%
19a	33 1/3% support tests—2014. If the orga						▶ □
b	17 is not more than 33 1/3%, check this bo 33 1/3% support tests—2013. If the orga		-				
J	line 18 is not more than 33 1/3%, check thi						▶ □
20	Private foundation. If the organization did						··········· • H

Part IV **Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations	Section	Α.	ΑII	Supporting	Organizations
---	---------	----	-----	------------	----------------------

ecti	on A. All Supporting Organizations	,		
1	Are all of the organization's supported organizations listed by name in the organization's governing		Yes	No
	documents? If "No," describe in Part VI how the supported organizations are designated. If designated by			
	class or purpose, describe the designation. If historic and continuing relationship, explain.	1_		
2	Did the organization have any supported organization that does not have an IRS determination of status			
	under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported			
	organization was described in section 509(a)(1) or (2).	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer			
	(b) and (c) below.	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and			
	satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the			
	organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)			
	(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.	3с		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If			
	"Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign			
	supported organization? If "Yes," describe in Part VI how the organization had such control and discretion			
	despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination			
	under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used			
	to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B)			
- -	purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes,"			
	answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN			
	numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action,			
	(iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action	5a		
b	was accomplished (such as by amendment to the organizing document). Type I or Type II only. Was any added or substituted supported organization part of a class already	Ja		
D	designated in the organization's organizing document?	5b		
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to			
•	anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class			
	benefited by one or more of its supported organizations; or (c) other supporting organizations that also			
	support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in			
	Part VI.	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial			
	contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent			
	controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7?			
	If "Yes," complete Part I of Schedule L (Form 990).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more			
	disqualified persons as defined in section 4946 (other than foundation managers and organizations described			
	in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.	9a		
b	Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which			
	the supporting organization had an interest? If "Yes," provide detail in Part VI.	9b		
С	Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit			
_	from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .	9с		
0a	Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f)			
	(regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting	10-		
h	organizations)? If "Yes," answer (b) below.	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to			

determine whether the organization had excess business holdings.)

1

Par	t IV Supporting Organizations (continued)			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
	below, the governing body of a supported organization?	11a		
b	A family member of a person described in (a) above?	11b		
	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		
Secti	ion B. Type I Supporting Organizations			
1	Did the directors, trustees, or membership of one or more supported organizations have the power to		Yes	No
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part			
	VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
Secti	on C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Secti	ion D. All Type III Supporting Organizations			
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax			
	year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the			
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
	supported organizations played in this regard.	3		
Secti	on E. Type III Functionally-Integrated Supporting Organizations			
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):			
а	The organization satisfied the Activities Test. Complete line 2 below.			
b	The organization is the parent of each of its supported organizations. Complete line 3 below.			
С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instruction	ons).		
2 /	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these			
	activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
	trustees of each of the supported organizations? Provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its supported organizations? If "Ves" describe in Part VI the role played by the organization in this regard	3h	l	

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations							
1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov.							
other Type III non-functionally integrated supporting organizations must complete Sections A through E.							
Section A - Adjusted Net Income	Section A - Adjusted Net Income (A) Prior Year (B) Current Year						
		(71) Thor Tour	(optional)				
1 Net short-term capital gain	1						
2 Recoveries of prior-year distributions	2						
3 Other gross income (see instructions)	3						
4 Add lines 1 through 3	4						
5 Depreciation and depletion	5						
6 Portion of operating expenses paid or incurred for production or							
collection of gross income or for management, conservation, or							
maintenance of property held for production of income (see instructions)	6						
7 Other expenses (see instructions)	7						
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8						
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)				
1 Aggregate fair market value of all non-exempt-use assets (see							
instructions for short tax year or assets held for part of year):							
a Average monthly value of securities	1a						
b Average monthly cash balances	1b						
c Fair market value of other non-exempt-use assets	1c						
d Total (add lines 1a, 1b, and 1c)	1d						
e Discount claimed for blockage or other							
factors (explain in detail in Part VI):							
2 Acquisition indebtedness applicable to non-exempt-use assets	2						
3 Subtract line 2 from line 1d	3						
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,							
see instructions).	4						
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5						
6 Multiply line 5 by .035	6						
7 Recoveries of prior-year distributions	7						
8 Minimum Asset Amount (add line 7 to line 6)	8						
Section C - Distributable Amount			Current Year				
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1						
2 Enter 85% of line 1	2						
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3						
4 Enter greater of line 2 or line 3	4						
5 Income tax imposed in prior year	5						
6 Distributable Amount. Subtract line 5 from line 4, unless subject to							
emergency temporary reduction (see instructions)	6						
7 Check here if the current year is the organization's first as a non-functionally-integrated Ty	pe III s	upporting organization (se	e				

Schedule A (Form 990 or 990-EZ) 2014

instructions).

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)								
Secti	on D - Distributions			Current Year				
1	1 Amounts paid to supported organizations to accomplish exempt purposes							
2	Amounts paid to perform activity that directly furthers exempt purposes of supported							
	organizations, in excess of income from activity							
3	Administrative expenses paid to accomplish exempt purposes of suppo	rted organizations						
4	Amounts paid to acquire exempt-use assets							
5	Qualified set-aside amounts (prior IRS approval required)							
6	Other distributions (describe in Part VI). See instructions.							
7	Total annual distributions. Add lines 1 through 6.							
8	Distributions to attentive supported organizations to which the organizat	tion is responsive						
	(provide details in Part VI). See instructions.							
9	Distributable amount for 2014 from Section C, line 6							
10	Line 8 amount divided by Line 9 amount							
	Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2014	(iii) Distributable Amount for 2014				
1	Distributable amount for 2014 from Section C, line 6							
2	Underdistributions, if any, for years prior to 2014							
	(reasonable cause required-see instructions)							
3	Excess distributions carryover, if any, to 2014:							
a	a							
b								
<u>C</u>								
d								
	From 2013							
f	Total of lines 3a through e							
<u>g</u>	Applied to underdistributions of prior years							
h	Applied to 2014 distributable amount							
<u>i</u>	Carryover from 2009 not applied (see instructions)							
<u>j</u>	Remainder. Subtract lines 3g, 3h, and 3i from 3f.							
4	Distributions for 2014 from Section							
	D, line 7: \$							
a	Applied to underdistributions of prior years							
b	Applied to 2014 distributable amount							
c	Remainder. Subtract lines 4a and 4b from 4.							
5	Remaining underdistributions for years prior to 2014, if							
	any. Subtract lines 3g and 4a from line 2 (if amount							
	greater than zero, see instructions).							
6	Remaining underdistributions for 2014. Subtract lines 3h							
	and 4b from line 1 (if amount greater than zero, see							
	instructions).							
7	Excess distributions carryover to 2015. Add lines 3j							
	and 4c.							
8	Breakdown of line 7:							
a								
b								
с								
d	Excess from 2013							
е	Excess from 2014							

Schedule A (Form 990 or 990-EZ) 2014

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service For Organizations Exempt From Income Tax Under Section 501(c) and Section 527

u Attach to Form 990 or Form 990-EZ.

u Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.

u Complete if the organization is described below.

• Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

,	(coo copulate members), mem				
	Section 501(c)(4), (5), or (6) organizations: Complete Part III.				
Nam	e of organization				ification number
	NEW YORK BOTANICAL G			13-16931	
Pa	rt I-A Complete if the organization is exem	pt under section 501(c)	or is a section	on 527 organization	on.
1	Provide a description of the organization's direct and indirect	ct political campaign activities in	Part IV.		
2	Political expenditures			u \$	
3	Volunteer hours				
Pa	rt I-B Complete if the organization is exem		, , ,		
1	Enter the amount of any excise tax incurred by the organiza	tion under section 4955		u \$	
2	Enter the amount of any excise tax incurred by organization	managers under section 4955		u \$	
3	If the organization incurred a section 4955 tax, did it file Form	m 4720 for this year?			Yes No
4a	Was a correction made?				Yes No
	If "Yes," describe in Part IV.				
Pa	rt I-C Complete if the organization is exem	pt under section 501(c), except secti	ion 501(c)(3).	
1	Enter the amount directly expended by the filing organization	n for section 527 exempt functi	on		
	activities			u \$	
2	Enter the amount of the filing organization's funds contribute				
	527 exempt function activities			u \$	
3	Total exempt function expenditures. Add lines 1 and 2. Ente	r here and on Form 1120-POL	,		
	line 17b			u \$	<u></u> <u></u>
4	Did the filing organization file Form 1120-POL for this year?	?			Yes No
5	Enter the names, addresses and employer identification nur				
	organization made payments. For each organization listed, e	enter the amount paid from the	filing organization	's funds. Also enter	
	the amount of political contributions received that were pron				
	as a separate segregated fund or a political action committee			-	
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from	(e) Amount of political
	·	, ,	.,	filing organization's	contributions received and
				funds. If none, enter -0	promptly and directly
					delivered to a separate political organization. If
					none, enter -0
(1)					
` '					
(2)					
` '					
(3)					
` '					
(4)					
. ,					
(5)					
,					
(6)					
,					

P	art II-A Complete if the organiza	ation is exempt under section 501(c)(3) an	d filed Form 5768 (ele	ection under						
	section 501(h)).									
Α	Check \mathbf{u} if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's									
	name, address, EIN, e	expenses, and share of excess lobbying expenses	enditures).							
В	Check u if the filing organization checked box A and "limited control" provisions apply.									
		oying Expenditures	(a) Filing	(b) Affiliated						
	·	eans amounts paid or incurred.)	organization's totals	group totals						
		ic opinion (grass roots lobbying)	160,000							
	b Total lobbying expenditures to influence a leg	gislative body (direct lobbying)	0							
	c Total lobbying expenditures (add lines 1a and	d 1b)	160,000							
	d Other average average street		77,369,904							
	e Total exempt purpose expenditures (add lines		77,529,904							
	f Lobbying nontaxable amount. Enter the amount									
	columns.	-	1,000,000							
	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:								
	Not over \$500,000	20% of the amount on line 1e.								
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.								
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.								
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.								
	Over \$17,000,000	\$1,000,000.								
	g Grassroots nontaxable amount (enter 25% of	f line 1f)	250,000							
	h Subtract line 1g from line 1a. If zero or less, e		0							
	i Subtract line 1f from line 1c. If zero or less, e	nter -0-	0							
	\boldsymbol{j} If there is an amount other than zero on either	er line 1h or line 1i, did the organization file Form 4720								
	reporting section 4911 tax for this year?			Yes No						

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period							
Calendar year (or fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) Total		
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000		
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000		
c Total lobbying expenditures	139,801	155,703	150,274	160,000	605,778		
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000		
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000		
f Grassroots lobbying expenditures	139,801	155,703	150,274	160,000	605,778		

Schedule C (Form 990 or 990-EZ) 2014

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768

	(election under section 501(h)).	(a	1)		(b)	
	each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed cription of the lobbying activity.	Yes	No		Amo	unt	
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or						
а	referendum, through the use of: Volunteers?						
b c	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?						
	Media advertisements? Mailings to members, legislators, or the public?						
u 0	Publications, or published or broadcast statements?						
	Over the fact the second of the fact that th						
g							
_	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?						
2a 1	Total. Add lines 1c through 1i Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?						
	If "Vee" enter the emount of any tay incurred under coation 4010						
	If "Yes," enter the amount of any tax incurred by organization managers under section 4912						
	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?						
	t III-A Complete if the organization is exempt under section 501(c)(4), section 501(c) 501(c)(6).	(5),	or s	ection			
						Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?				1		↓
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				2		<u> </u>
3_	Did the organization agree to carry over lobbying and political expenditures from the prior year?				3		
	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," Of answered "Yes." Dues, assessments and similar amounts from members) Par 	t III-A,	line	3, is	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).						
а	Current year		2a				
b	Carryover from last year		2b				
С	Total		2c				
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		3				
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the						
	excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying						
	and political expenditure next year?		4				
5	Taxable amount of lobbying and political expenditures (see instructions)		5				
	rt IV Supplemental Information						
Prov 2 (se	ide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A (affiliated group list)	, lines	a1 and	d			
T]	ne Garden incurs lobbying expenditures for the purpose of	ra	isi	ng p	oub]	ic	
f	unds for its operating program areas and capital support.		•	•			
	······································						

Schedule C (F	orm 990 or 990-EZ) 2014	NEW YORK	BOTANICAL	GARDEN	13-1693134	Page 4
Part IV	Supplemental	Information	(continued)			
			,			

SCHEDULE D (Form 990)

Internal Revenue Service

Supplemental Financial Statements u Complete if the organization answered "Yes" to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. u Attach to Form 990.

Open to Public

OMB No. 1545-0047

u Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Inspection

Name	of the organization		Employer identification number
NTI	EW YORK BOTANICAL GARDEN		13-1693134
	rt I Organizations Maintaining Donor Advised Fu	inde or Other Similar Funds or	
Га	Complete if the organization answered "Yes" to		Accounts.
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in writing that		
	funds are the organization's property, subject to the organization's exc	lusive legal control?	Yes No
6	Did the organization inform all grantees, donors, and donor advisors in	writing that grant funds can be used	
	only for charitable purposes and not for the benefit of the donor or don	or advisor, or for any other purpose	
	conferring impermissible private benefit?		Yes No
Pa	rt II Conservation Easements.	Forms 000 Port IV line 7	
	Complete if the organization answered "Yes" to		
1	Purpose(s) of conservation easements held by the organization (check		
	Preservation of land for public use (e.g., recreation or education)	Preservation of a historically imp	
	Protection of natural habitat	Preservation of a certified histori	ic structure
•	Preservation of open space	unication contribution in the form of a conce	an ation
2	Complete lines 2a through 2d if the organization held a qualified conse easement on the last day of the tax year.	ervation contribution in the form of a conse	Held at the End of the Tax Year
•	•		
a b			• • • • • • • • • • • • • • • • • • • •
C	Total acreage restricted by conservation easements	uded in (a)	2c
d	Number of conservation easements included in (c) acquired after 8/17/		
ŭ	historic structure listed in the National Register		2d
3	Number of conservation easements modified, transferred, released, ex		
	tax year u	. g ,	3
4	Number of states where property subject to conservation easement is	located ${f u}$	
5	Does the organization have a written policy regarding the periodic more		
	violations, and enforcement of the conservation easements it holds?		Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting, and enfor	cing conservation easements during the year	ear
	u		
7	Amount of expenses incurred in monitoring, inspecting, and enforcing	conservation easements during the year	
	u\$		
8	Does each conservation easement reported on line 2(d) above satisfy		
	and section 170(h)(4)(B)(ii)?		Yes No
9	In Part XIII, describe how the organization reports conservation easem	'	,
	balance sheet, and include, if applicable, the text of the footnote to the	organization's financial statements that de	escribes the
Da	organization's accounting for conservation easements. rt III Organizations Maintaining Collections of Art.	Historical Transuras or Other	Similar Assots
Га	Complete if the organization answered "Yes" to		Sillilai Assets.
	If the organization elected, as permitted under SFAS 116 (ASC 958), r		palance sheet
ıu	works of art, historical treasures, or other similar assets held for public	•	
	public service, provide, in Part XIII, the text of the footnote to its finance		3.4.100 0.
b	If the organization elected, as permitted under SFAS 116 (ASC 958), t		nce sheet
-	works of art, historical treasures, or other similar assets held for public		
	public service, provide the following amounts relating to these items:	,	
	(i) Revenues included in Form 990, Part VIII, line 1		u \$
	(ii) Assets included in Form 990, Part X		u \$
2	If the organization received or held works of art, historical treasures, or	other similar assets for financial gain. pro	vide the
	following amounts required to be reported under SFAS 116 (ASC 958)		
а	Revenue included in Form 990, Part VIII, line 1	-	u \$
b	Assets included in Form 990, Part X		u \$

Sche	dule D (Form 990) 2014 NEW YORK	BUIANICAL	GARDEN		T3-T093) I 3 I			Page Z
Pa	rt III Organizations Maintaining	Collections of	Art, Historical Tre	easures, c	r Other Si	milar A	ssets	(continue	d)
3	Using the organization's acquisition, accessic collection items (check all that apply):	n, and other records,	check any of the follow	wing that are	a significant u	se of its			
а	X Public exhibition	d 🗌	Loan or exchange prog	grams					
b	X Scholarly research		Other						
	X Preservation for future generations	• Ш	·····						
	Provide a description of the organization's co	lloctions and explain	how thoy further the o	rannization's	evernt nurner	o in Port			
4		niections and explain	now they further the of	iganization's t	exempt purpos	e III Fait			
_	XIII.								
5	During the year, did the organization solicit of				nılar				37
	assets to be sold to raise funds rather than t		art of the organization's	collection?				Yes	X No
Pa	rt IV Escrow and Custodial Ar	-							
	Complete if the organization 990, Part X, line 21.	answered "Yes"	to Form 990, Par	t IV, line 9,	or reported	l an am	ount or	n Form	
1a	Is the organization an agent, trustee, custodi	an or other intermedia	ary for contributions or	other assets	not				
	included on Form 990, Part X?							Yes	No
b	If "Yes," explain the arrangement in Part XIII							_	_
	, 1	•	J					Amount	
c	Beginning balance					1c			
u	Additions during the year								
	Distributions during the year					. 1e			
Ţ	Ending balance					1f		П.,	П.,
	Did the organization include an amount on F	•	•					Yes	∐ No
	If "Yes," explain the arrangement in Part XIII.	Check here if the ex	planation has been pro	vided in Part	XIII				
Pa	rt V Endowment Funds.								
	Complete if the organization	answered "Yes"	to Form 990, Par	t IV, line 10).				
		(a) Current year	(b) Prior year	(c) Two year	s back (d) Three year	rs back	(e) Four year	ars back
1a	Beginning of year balance	367,588,008	325,942,352	304,90	7,584 3	29,883	1,962	270,65	9,675
	Contributions	1,420,000	17,389,271	10,69	0,441	8,31	4,456	15,25	9,064
С	Net investment earnings, gains, and								
	1	-12,387,024	41,948,825	33.86	0,945	-5,149	9.052	55,55	0.326
ч	Constant of the constant	657,627	637,045		2,784		6,666		9,776
	Other expenditures for facilities and	037,027	037,013		2,701		0,000		<i>5</i> ,770
Е	'	14,700,725	17,055,395	22.06	2 024	27,60	2 116	11 00	7 227
	programs	14,700,723	17,055,595	23,06	3,034	27,00.	3,110	11,02	1,341
	Administrative expenses	241 060 620	267 500 000	205.04	0 250 2	0.4.00	7 504	200 00	1 060
g	End of year balance	341,262,632			2,352 3	04,90	/,584	329,88	1,962
2	Provide the estimated percentage of the curr		(line 1g, column (a)) h	eld as:					
а	Board designated or quasi-endowment ${f u}$	28.30 %							
b	Permanent endowment u 58.00 %								
С	Temporarily restricted endowment u 1	3.70 %							
	The percentages in lines 2a, 2b, and 2c show	ıld equal 100%.							
3a	Are there endowment funds not in the posse	ssion of the organiza	tion that are held and a	administered for	or the				
	organization by:							Ye	s No
	(i) unrelated organizations							3a(i)	X
	(ii) related associations							3a(ii)	Х
h	If "Yes" to 3a(ii), are the related organizations		Schedule R?					3b	
								30	
	Describe in Part XIII the intended uses of the		wment lunus.						
ra	rt VI Land, Buildings, and Equ		to Form 000 Da	. \	o Coo Fam	m 000	Dorf V	line 40	
	Complete if the organization						Part X,		
	Description of property	(a) Cost or other b	1 ''		(c) Accumi			(d) Book valu	ie
		(investment)	(othe		deprecia	tion			
1a	Land			08,618				<u>41,408</u>	
b	Buildings		155,14	45,291	40,07	9,91	6 1	15,065	,375
	Leasehold improvements		73,90	08,907	43,46			30,445	
	Equipment			75,029	15,25			2,621	

18,696,379

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)

u

18,696,379

Part VII Investments—Other Securities. Complete if the organization answered "Yes" to		11h See Form 990 F	Part X line 12
(a) Description of security or category (including name of security)	(b) Book value	(c) Method	of valuation:
(1) Financial derivatives			
(2) Closely-held equity interests			
(3) Other Alternative investment / hedge	179,194,922	Market	
(A) Real estate	14,224,327	Cost	
(B)		0000	
(C)			
(D)			
(E)			
(F)			
(G)			
(H)			
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) u	193,419,249		
Part VIII Investments—Program Related.			
Complete if the organization answered "Yes" to	Form 990, Part IV, line	11c. See Form 990, F	Part X, line 13.
(a) Description of investment	(b) Book value		of valuation:
		Cost or end-of-y	ear market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) u			
Part IX Other Assets.			
Complete if the organization answered "Yes" to	Form 990, Part IV, line	11d. See Form 990, F	Part X, line 15.
(a) Description			(b) Book value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
_(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)		u	
Part X Other Liabilities.			
Complete if the organization answered "Yes" to	Form 990, Part IV, line	11e or 11f. See Form	990, Part X,
line 25.	- 1		
1. (a) Description of liability	(b) Book value		
(1) Federal income taxes	10 -11 -11		
(2) Interest swap valuation liability	12,711,283		
(3) Conditional asset retirement	2,543,067		
(4) Accrued vacation liability	2,390,757		
(5)			
(6)			
(7)			

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII...

17,645,107

(8) (9)

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ${\bf u}$

263,972

77,529,904

2e

3

4c

	dule D (1 oill 930) 2014 INDW TOTAL DOTALLCAD CARDEIN TO TO TO TO	1	ı ay c ¬
Pa	Reconciliation of Revenue per Audited Financial Statements With Revenue per Re Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.	turn.	-
1	Total revenue, gains, and other support per audited financial statements	1	40,457,716
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
а	Net unrealized gains (losses) on investments 2a -27,704,170		
b	Donated services and use of facilities 2b		
С	Recoveries of prior year grants 2c		
d	Other (Describe in Part XIII.) 2d 263,972		
	Add lines 2a through 2d	2e	-27,440,198
3	Subtract line 2e from line 1	3	67,897,914
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b		
b	Other (Describe in Part XIII.)		
С	Add lines 4a and 4b	4c	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	67,897,914
Pa	Reconciliation of Expenses per Audited Financial Statements With Expenses per I Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.	Retur	n.
1	Total expenses and losses per audited financial statements	11	77,793,876
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
а	Donated services and use of facilities 2a		
	Prior year adjustments 2b		
	Other losses 2c		
d	Other (Describe in Part XIII.) 2d 263,972		

4a

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

e Add lines 2a through 2d

3 Subtract line 2e from line 1

a Investment expenses not included on Form 990, Part VIII, line 7b

b Other (Describe in Part XIII.)

Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)

c Add lines 4a and 4b

4 Amounts included on Form 990, Part IX, line 25, but not on line 1:

Part X - FIN 48 Footnote

The Garden is a Section 501(c)(3) charitable organization exempt from federal income taxes under Section 501(a) of the U.S. Internal Revenue Code. It has been classified as a publicly supported charitable organization under Section 509 (a)(1) and qualifies for the maximum charitable contribution deduction by donors. In addition, the State and City of New York (the "City") have classified the Garden as nonprofit in character and, as such, the Garden is exempt from payment of income taxes to the State and City. ASC 740-10-05-6, Accounting for Uncertainty in Income Taxes, prescribes the minimum recognition threshold a tax position must meet in connection with accounting for uncertainties in income tax positions taken or expected to be taken by an entity, before being measured and recognized in the financial statements. The Garden has reported no potential liabilities for uncertain tax positions at June 30, 2015 or 2014.

Supplemental information (continued)		
Part XI, Line 2d - Revenue Amounts Included in Financials	- O	ther
Cost of goods sold	\$	1,659,735
Fundraising events professional fundraising fees	\$\$	-190,381
Fundraising event admin expenses	\$	-1,205,382
Total - Schedule D, Part XII, Line 2d - \$263,972	\$	0
Part XII, Line 2d - Expense Amounts Included in Financials	s – (Other
Cost of goods sold	\$	1,659,735
Fundraising event admin expenses	\$	-1,205,382
Fundraising events professional fundraising fees	\$	-190,381
Total - Schedule D, Part XIII, Line 2d \$263,972	\$\$	0
Part XIII - Supplemental Financial Information		
Part III, Line 4 - Collections and Relation to Exempt Pur	pose	
The Garden's collections include living plants, herbarium	spe	cimens, art
objects, books, prints, and ephemera. The Garden has not	capi	talized the
collections. The Garden's collections are maintained for	publ	ic exhibition,
education, and research in furtherance of public service	rathe	er than for
financial gain. Collections are the most valuable assets of	of th	ne Garden and
are protected, kept unencumbered, cared for, and preserved	l. Th	ne proceeds of
items in collections that are sold are used to acquire other	ner :	items for
collections.		

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

U Attach to Form 990 or Form 990-EZ. U Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990. Open to Public

Name of the organization Employer identification number NEW YORK BOTANICAL GARDEN 13-1693134 Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Part I Form 990-EZ filers are not required to complete this part. Indicate whether the organization raised funds through any of the following activities. Check all that apply. Mail solicitations X Solicitation of non-government grants X Solicitation of government grants Internet and email solicitations X Special fundraising events Phone solicitations d In-person solicitations 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. (iii) Did fund-(v) Amount paid to (vi) Amount paid to raiser have (i) Name and address of individual (or retained by) (iv) Gross receipts (or retained by) custody or (ii) Activity or entity (fundraiser) from activity fundraiser listed in organization control of contributions? col. (i) Buckley Hall Events Yes No 1 17-19 Marble Ave Pleaseantville 10570 Ball Χ 1,303,397 78,930 1,224,467 Cons. Projects Plus 2 145 W 45th Street New York NY 10036 Found Din Χ 793,000 64,637 728,363 Buckley Hall Events 3 17-19 Marble Ave Pleasantville NY 10570 Other (3) Χ 1,892,937 46,814 1,846,123 ComNet Marketing 4 1214 Stowe Ave Medford OR 97501 Membership Χ 180,000 35,570 144,430 5 6 9 10 4,169,334 225,951 3,943,383 Total List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Schedule G (Form 990 or 990-EZ) 2014 NEW YORK BOTANICAL GARDEN 13-1693134 Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000. (a) Event #1 (b) Event #2 (c) Other events (d) Total events Conserv Ball Founders Award (add col. (a) through Other (3) col. (c)) (event type) (event type) (total number) 793,000 1,303,397 2,072,937 4,169,334 1 Gross receipts 1,107,887 674,051 1,761,996 3,543,934 2 Less: Contributions 3 Gross income (line 1 minus 195,510 118,950 310,941 625,400 line 2) 4 Cash prizes 5 Noncash prizes 6 Rent/facility costs Expenses 7 Food and beverages 8 Entertainment 430,345 185,005 843,320 1,458,670 9 Other direct expenses 10 Direct expense summary. Add lines 4 through 9 in column (d) 1,458,670 -833,27011 Net income summary. Subtract line 10 from line 3, column (d) Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a. (b) Pull tabs/instant (d) Total gaming (add Revenue (a) Bingo (c) Other gaming bingo/progressive bingo col. (a) through col. (c)) 1 Gross revenue 2 Cash prizes Expenses 3 Noncash prizes Direct 4 Rent/facility costs 5 Other direct expenses 6 Volunteer labor No No 7 Direct expense summary. Add lines 2 through 5 in column (d) 8 Net gaming income summary. Subtract line 7 from line 1, column (d) Enter the state(s) in which the organization conducts gaming activities: Is the organization licensed to conduct gaming activities in each of these states? Yes If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

b If "Yes," explain:

Sche	edule G (Form 990 or 990-EZ) 2014 NEW YORK BOTANICAL GARDEN 13-169	3134	Ŀ	F	Page 3
11	Does the organization conduct gaming activities with nonmembers?			Yes	N
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity		_		_
	formed to administer charitable gaming?			Yes	□ N
13	Indicate the percentage of gaming activity conducted in:				_
а	The organization's facility	13a			%
b	An outside facility	13b			%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and				
	records:				
	Name u				
	Address u				
15a	Does the organization have a contract with a third party from whom the organization receives gaming		$\overline{}$		
	revenue?		Ш	Yes	N
b	If "Yes," enter the amount of gaming revenue received by the organization ${f u}$ \$ and the				
	amount of gaming revenue retained by the third party u \$				
С	If "Yes," enter name and address of the third party:				
	Memo as				
	Name u				
	Address 11				
	Address u				
16	Gaming manager information:				
	Name u				
	Gaming manager compensation u \$				
	Description of services provided u				
	Director/officer				
4-7	Manufatan, distributions.				
17	Mandatory distributions:				
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to		П	Yes	□ N
h	retain the state gaming license? Enter the amount of distributions required under state law to be distributed to other exempt organizations or		Ш	163	IN
b	spent in the organization's own exempt activities during the tax year \mathbf{u} \$				
Par	TIV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) at	nd (v).	and		
	Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional inform	. ,			
	instructions).		`		
	,				

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

u Complete if the organization answered "Yes" on Form 990, Part IV, line 23. u Attach to Form 990.

u Attach to Form 990. uInformation about Schedule J (Form 990) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

NEW YORK BOTANICAL GARDEN

Employer identification number 13-1693134

Pa	art I Questions Regarding Compensation			
			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form			
	990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (e.g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment			
	or reimbursement or provision of all of the expenses described above? If "No," complete Part III to			
	explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all			
_	directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line			
		2		
	1a?	_		
3	Indicate which, if any, of the following the filing organization uses to establish the compensation of the			
3	organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a			
	related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	X Compensation committee X Written employment contract			
	X Independent compensation consultant X Compensation survey or study			
	\overline{X} Form 990 of other organizations \overline{X} Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing			
	organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a		X
b		4b		X
С	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any			
	compensation contingent on the revenues of:			
а	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any			
	compensation contingent on the net earnings of:			
а	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed			
	payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject			
-	to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe			
		8		X
	in Part III			
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in			
•	Pagulations section 53 4058-6/o/2	۵		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)–(D)	in column (B) reported as deferred in prior Form 990
Gregory R. Long	(i) .	427,885	0	231,662	290,660	32,440	982,647	C
1 President	(ii)	0	0	0	0	0	0	C
Joseph V. Cossaboom	(i)	386,412	0	3,000	41,660	32,440	463,512	С
2 Assistant Treasurer	(ii)	0	0	0	0	0	0	C
AnneMarie Blancato	(i)	202,525	0	0	18,430	32,440	253,395	С
3 2nd Assistant Treasu	(ii)	0	0	0	0	0	0	C
Jennifer Rominiecki	(i)	250,494	0	0	22,795	32,440	305,729	C
4 Vice President	(ii)	0	0	0	0	0	0	C
Mark Cupkovic	(i)	201,610	0	0	18,347	32,440	252,397	C
5 Vice President	(ii)	0	0	0	0	0	0	C
Todd Forrest	(i)	211,746	0	0	19,269	32,440	263,455	C
6 Vice President	(ii)	0	0	0	0	0	0	C
Karen Yesnick	(i)	188,324	0	0	17,137	30,508	235,969	C
7 Vice President	(ii)	0	0	0	0	0	0	C
Terry Skoda	(i)	175,732	0	0	15,992	32,440	224,164	C
8 Vice President	(ii)	0	0	0	0	0	0	C
Michael Balick	(i)	167,099	0	0	15,206	27,070	209,375	C
9 Vice President	(ii)	0	0	0	0	0	0	C
Dennis Stevenson	(i)	165,878	0	0	15,095	26,872	207,845	(
10 Vice President	(ii)	0	0	0	0	0	0	(
	(i)							
11	(ii)							
	(i)							
12	(ii)							
	(i)							
13	(ii)							
	(i)							
14	(ii)							
	(i)							
15	(ii)							
	(i)							
16	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Part III - Other Additional Information Explanation of Part II: The New York Botanical Garden has a formal procedure and sign-off process for review of executive compensation. Part II, Line 1: Compensation for the CEO is determined by the Compensation Committee of the organization's Board of Managers. The members of the Compensation Committee are all independent voting members of the organization's governing body. The CEO is currently serving pursuant to the terms of an employment agreement which was approved by the Compensation Committee after retaining an independent compensation consultant, who presented the Committee with appropriate comparability data. The consultant concluded that the compensation proposed in the employment agreement was reasonable. The Compensation Committee contemporaneously documented its deliberations and decision. In accordance with the terms of the employment agreement, the CEO's total compensation is composed of a base salary of \$445,000, and deferred compensation of \$222,500, both of which are privately funded. The reported

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

base salary of \$427,885 is lower due to a voluntary unpaid two week furlough taken by the CEO in 2014. The deferred compensation is intended to serve as a retention incentive. The amount in column (c), \$327,019, was not paid to the CEO, but must be reported at this time and included in the total (column (E)) because it was accrued in anticipation of being paid to the CEO in the future, provided he remains employed by the Garden and performs his duties pursuant to the terms of the employment agreement. The CEO does not receive housing or a housing allowance. The Garden's Compensation Committee believes the CEO's compensation is below the average compensation of CEOs for institutions comparable to the Garden. Part II, Line 2: The COO also serves pursuant to the terms of an employment agreement. The COO's compensation is approved by the Compensation Committee. The COO's compensation was reviewed by the Compensation Committee, along with appropriate comparability data, and was determined by the Committee to be reasonable. Part II, Lines 2 - 10:

Part III	Supplemental Information
Provide the	information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this pa
for any add	litional information.

Compensation for other officers and key employees is based on institutional
salary comparisons conducted by the Garden's Human Resources office, which
reviews salaries paid by comparable organizations to their officers and key
employees. The Compensation Committee periodically reviews the
compensation levels of these employees. Merit increases are based
on a formal performance evaluation process and approved by the CEO in
consultation with the Chairman of the Board of Managers.
. compared for the charman of the board of handseld.

SCHEDULE K (Form 990)

Supplemental Information on Tax-Exempt Bonds

u Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

Department of the Treasury Internal Revenue Service

Name of the organization u Attach to Form 990. u Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047

2014

Open to Public Inspection

Employer identification number

	NEW YORK BOTANIC	'AL GARDEN							13-	1693	<u> 3134</u>	:		
Part I	Bond Issues													
	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued (e) Issue pric		ue price	(f) Description of purpose		(g) De	feased	(h) C behalf issue	lf of	(i) Po finan	ooled
									Yes	No	Yes	No	Yes	No
A Trust	for Cultural Resources	13-1693134	649717QE8	08/14/09	68,0	90,000	See Part '	JI		Х		Х		Х
											1			
В											Ш			
С											\sqcup			L
											1			
D														
Part II	Proceeds													
			_	A			В	С		$-\!\!\!\!+$	D			
	of bonds retired									$-\!\!\!\!+$				
2 Amount	of bonds legally defeased									$-\!\!\!+$				
3 Total proceeds of issue				68,0	90,000					-				
	roceeds in reserve funds									$-\!\!\!+$				
	ed interest from proceeds									$-\!\!\!+$				
	s in refunding escrows									$-\!\!\!+$				
	e costs from proceeds			9	48,080					$-\!\!\!+$				
	nhancement from proceeds									$-\!\!\!+$				
	capital expenditures from proceeds									-				
	expenditures from proceeds									\rightarrow				
	pent proceeds									-				
	nspent proceeds									$-\!\!\!+$				
13 Year of	substantial completion									$-\!\!\!+$				
				Yes	No	Yes	No	Yes	No	-	Yes		No	<u> </u>
	e bonds issued as part of a current refunding issu			X						-				
	e bonds issued as part of an advance refunding is				X					-		\rightarrow		
	final allocation of proceeds been made?			X						-		\rightarrow		
	organization maintain adequate books and records to sup	port the final allocation	of proceeds?	Х										
Part III	Private Business Use							T						
			_	A			<u>B</u>	Ç		$-\!\!\!+$		P		
	organization a partner in a partnership, or a men		-	Yes	No	Yes	No	Yes	No	+	Yes	\rightarrow	No	<u> </u>
	wned property financed by tax-exempt bonds?				X					$-\!\!\!\!+$		\rightarrow		
2 Are there	e any lease arrangements that may result in priva	te business use of												

bond-financed property?

Part III Private Business Use (Continued)

		Α		В		C		Γ	D
3a	Are there any management or service contracts that may result in private	Yes	No	Yes	No	Yes	No	Yes	No
	business use of bond-financed property?		X						
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside								
	counsel to review any management or service contracts relating to the financed property?							<u> </u>	
С	Are there any research agreements that may result in private business use of							l	
	bond-financed property?		X						
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other								
	outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities								
	other than a section 501(c)(3) organization or a state or local government u		%		%		%		%
5	Enter the percentage of financed property used in a private business use as a								
	result of unrelated trade or business activity carried on by your organization,							1	
	another section 501(c)(3) organization, or a state or local government u		%		%		%		%
6	Total of lines 4 and 5		%		%		%		%
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond-financed property to a								
	nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or								
	disposed of		%		%		%		%
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations								
	sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all								
	nonqualified bonds of the issue are remediated in accordance with the							1	
_	requirements under Regulations sections 1.141-12 and 1.145-2?		X						
F	Part IV Arbitrage	1		-					
			A		В		Ç		D .
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and	Yes	No	Yes	No	Yes	No	Yes	No
_	Penalty in Lieu of Arbitrage Rebate?		X						
	If "No" to line 1, did the following apply?				,				
	Rebate not due yet?		X						
	Exception to rebate?		X						
<u></u>	No rebate due?		X						
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was							1	
	performed				,				
3	Is the bond issue a variable rate issue?	X							
4a 	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	X							
b	Name of provider	Morgan S	tanley						
	Term of hedge								
	Was the hedge superintegrated?		Х						
	Was the hedge terminated?		Х						

Schedule K (Form 990) 2014

Part IV Arbitrage (Continued)								
		Α	В		С)
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						1
b Name of provider		•		•				
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		Х						
7 Has the organization established written procedures to monitor the								
requirements of section 148?		l x						I
Part V Procedures To Undertake Corrective Action	•	•	•	•	•			
1.00044.00 10 0.140.00 00.100.10 1.000.10		A		В	Τ (3		
Has the organization established written procedures to ensure that violations	Yes	No	Yes	No	Yes	No	Yes	No
of federal tax requirements are timely identified and corrected through the	1.55	1	1.00	110	1		100	
voluntary closing agreement program if self-remediation is not available								I
under applicable regulations?		X						I
Part VI Supplemental Information. Provide additional informa	tion for room		otions on Sa	hadula K (a	o instructions	<u> </u>		
Schedule K - Purpose of Issue Description		onses to que	estions on Sc	nedule K (Se	e mstructions	5).		
Trust for Cultural Resources	.1							
Proceeds of the bond were used to repay	a baidaa	loop f	som TD M	owers Ok				
Bank, originated in 2008, for the purpose			then out	standing	l Lax			
exempt bonds which had been issued in 20	uz and z	1006.						

SCHEDULE L

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Transactions With Interested Persons

 ${f u}$ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

u Attach to Form 990 or Form 990-EZ. u Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open To Public Inspection

Name of the orga								yer iden		n num	ber		
Part I	NEW YORK BOTANICAL		(-)(0)	-04/-)/4)	1 504(-)(00)		6931	34				
Part I	Excess Benefit Transactio Complete if the organization answer).				
			nship between disq								(d)	Correct	ed?
1	(a) Name of disqualified person		organization				(c) Description of tra	ansactio	1		Yes	N	No
(1)													
(2)													
(3)													
(4)											<u> </u>		
(5)											<u> </u>		
(6)													
under s	ne amount of tax incurred by the organ section 4958ne amount of tax, if any, on line 2, above							u \$ u \$					
Part II	Loans to and/or From Inte	rested Perso	ne		—				—	—			_
i dit ii	Complete if the organization answer			V. lir	ne 38	Ba or Form 990.	Part IV. line 26: o	r if the	į				
	organization reported an amount on					,	,, .						
	(a) Name of interested person	(b) Relationship	(c) Purpose of	(d) Lo			(f) Balance due	(g) In	default?	(h) Ap		(i) W	
		with organization	loan	or tro	m the g.?	principal amount				comm	ard or nittee?	agreer	nent
				To	From			Yes	No	Yes	No	Yes	No
(1)													\vdash
(2)													
(2)													
(3)													
(4)													_
(5)													
(6)				\vdash									
(7)													_
(8)													
(9)				\vdash									
10)													
Total	Crowte or Assistance Box	ofition Intono	oted Deve			u\$							
Part III	Grants or Assistance Bene Complete if the organization answer	_			27.								
	(a) Name of interested person		ship between interes			mount of assistance	(d) Type of assistance		(e)	Purpose	e of ass	istance	_
	(a) Name of interested person	. ,	and the organization		(6) 7.	mount of assistance	(a) Type of assistance			———	, or uss	istarioc	
(1)					<u> </u>			_					
(2)					<u> </u>			+					
(3)					<u> </u>			+					
(4)					<u> </u>			+					
(5) (6)					<u> </u>			+					
(7)								+					_
(8)								+					
\~/					1	1		1					

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the	(c) Amount of transaction	(d) Description of transaction	of	Sharing org. nues?
	organization			Yes	No
(1) Denis O'Connor	Employee- son	130,317	Compensation		Х
(2)	of board member				Х
(3) Cooper, Robertson, & Partners LLP	Firm partner -	59,270	Architectural		Х
(4)	spouse of CEO		Services		Х
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Cooper, Robertson, & Partners provides architectural services

gardening, sustainability, nutrition, and health.

Schedule L, Part V - Additional Information

Part IV, Line 1:

Denis O'Connor's employment with the Garden commenced approximately three years prior to the initial election of his father to the Garden's Board of Managers.

Part IV, Line 4:

to the Garden. Selection of the firm was approved by the Executive Committee of the Garden's Board of managers. The firm was selected for its unique expertise in architecture and urban design projects for cultural and educational institutions. Approval of the firm was finalized at least one year prior to the marriage of a partner in the firm to the CEO of the Garden. A contract for services was executed in February 2013. To meet a growing interest in urban edible gardening, particularly for New York City's young learners, Cooper Robertson was commissioned to design an expansion to The New York Botanical Garden's Ruth Rea Howell Family Garden. The new facility, called The Edible Academy, will expand programs for children as well as teachers, families, and adults into a year-round teaching center focusing on the fundamental relationships between plants,

Schedule L (Form 990 or 990-EZ) 2014 INEW IORK	BUTANICAL GARD	GTA .	13-1093134	Pa	age ∡
Part IV Business Transactions Involving Complete if the organization answered "Yes"		29h or 28c			
(a) Name of interested person	(b) Relationship between interested person and the	(c) Amount of transaction	(d) Description of transaction		Sharing org. nues?
(4)	organization			Yes	No
(1) (2)				+-	
(3)					
(3) (4)					
(5)					
(6)				\bot	
(7)					
(8) (9)				-	
(?) [0)					
Part V Supplemental Information Provide additional information for responses	to questions on Schedule L (s	ee instructions).			ı
·		,	_		
The Edible Academy will incl	ude a new class	room buildi	ing and		
propagation greenhouse as we	ll as expanded	garden area	s to serve up to		
80,000 program participants	annually. A te	erraced lawr	n amphitheater and	d tw	VO
outdoor pavilions will provi	de flexible spa	ces for act	tivities, cooking		
			<u> </u>		
demonstrations, and outdoor	events.				

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

2014

Open To Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

u Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30. u Attach to Form 990.

u Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

NEW YORK BOTANICAL GARDEN

Employer identification number 13-1693134

Pa	rt I Types of Property					•			
		(a)	(b)	(c)		(d)			
		Check if	Number of contributions or	Noncash contribution amounts reported on		Method of determining	ı		
		applicable	items contributed	Form 990, Part VIII, line 1g		noncash contribution amo	unts		
1	Art — Works of art								
2	Art — Historical treasures								
3	Art — Fractional interests								
4	Books and publications								
5	Clothing and household								
	goods								
6	Cars and other vehicles								
7	Boats and planes								
8	Intellectual property								
9	Securities — Publicly traded	Х	26	4,012,695	Gift	date avg mkt	t va	lue	
10	Securities — Closely held stock			,					
11	Securities — Partnership, LLC,								
	or trust interests								
12	Securities — Miscellaneous								
13	Qualified conservation								
	contribution — Historic								
	structures								
14	Qualified conservation								
	contribution — Other								
15	Real estate — Residential								
16	Real estate — Commercial								
17	Real estate — Other								
18	Collectibles								
19	Food inventory	X	1	10,000	Fair	value - est:	imat	.ed	
20	Drugs and medical supplies								
21	Taxidermy								
22	Historical artifacts								
23	Scientific specimens								
24	Archeological artifacts								
25	Other u (Air travel)	X	1	11,000	Fair	value - est:	imat	ed	
26	Other $\mathbf{u}($								
27	Other u ()								
28	Other u ()								
29	Number of Forms 8283 received by t	he organiz	ation during the tax year	for contributions for					
	which the organization completed Fo	rm 8283, I	Part IV, Donee Acknowle	dgement	29				
								Yes	No
30a	During the year, did the organization	receive by	contribution any propert	y reported in Part I, lines 1	through				
	28, that it must hold for at least three								
	to be used for exempt purposes for t	he entire h	olding period?				30a		X
b	If "Yes," describe the arrangement in								
31	Does the organization have a gift according	ceptance p	olicy that requires the re	view of any non-standard					
	contributions?						31	ш	X
32a	Does the organization hire or use this								
	contributions?						32a		X
b	If "Yes," describe in Part II.								
33	If the organization did not report an a	mount in c	column (c) for a type of p	roperty for which column (a) is checke	d,			
	describe in Part II.								

Part II Supplemental Information. Provide the information required by Part I, lin the organization is reporting in Part I, column (b), the number of contribution or a combination of both. Also complete this part for any additional information.	ons, the number of items received,
Schedule M - Supplemental Information	
Gifts of publicly traded securities - 26 contribution	ns received

SCHEDULE O

(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

OMB No. 1545-0047 **2014**

Open to Public Inspection

Department of the Treasury Internal Revenue Service u Attach to Form 990 or 990-EZ. u Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization Employer identification number NEW YORK BOTANICAL GARDEN 13-1693134 Form 990 - Organization's Mission The New York Botanical Garden is a museum of plants and a scientific research center devoted to the study of plants and their uses. It is the Garden's mission to improve public understanding of the natural world, horticulture, and the relationships between plants and people. It is also the Garden's mission to expand humanity's knowledge of plants and how they are utilized. Form 990, Part I, Line 6 Volunteers provide light duty services primarily in the Garden's program service functions. Form 990, Part III, Line 4d - All Other Accomplishment Auxilliary services - provides services and amenities for the convenience of the visiting public Form 990, Part VI, Line 2 - Related Party Information Among Officers Mr. Thomas J. Hubbard Mrs. Thomas J. Hubbard Chair Emerit Secretary Family Form 990, Part VI, Line 7a - Election of Members and Their Rights The elected Managers are divided into three classes, each of which consists of a maximum of seventeen Managers. The term of one class of Managers shall expire at the Annual Meeting of Members each year. A class

Name of the organization

NEW YORK BOTANICAL GARDEN

Employer identification number

13-1693134

of Managers shall be elected at the Annual Meeting of Members each year for a term which shall expire at the third Annual meeting of Members following the date of such election. Each elected Manager shall be assigned to a class and shall be elected for a term expiring on the same date as that of the class to which the Manager has been assigned. The number of elective Managers may be increased from time to time by vote of the Corporation.

Executive Committee Composition and Scope:

The Executive Committee consists of the Board Chair, the Vice Chairs, the President, the Secretary, and the Treasurer, ex officio, and not less than eight (8) appointed members.

The Executive Committee shall have and exercise all of the powers of the Board of Managers to the extent permitted by law during intervals between meetings of the Board of Managers. The Board Chair, or his designee, shall be Chair of the Executive Committee. The Executive Committee shall make recommendations for appointments to the Committees of the Board, but such recommendations shall not be binding upon the Board.

Form 990, Part VI, Line 7b - Decisions Subject to Approval of Members

Ten (10) Managers (elective and ex officio) shall constitute a quorum at

any meeting of the Board of Managers and the vote of a majority of Managers

present at the time of the vote, if a quorum is present at such a time,

shall be the act of the Board.

Form 990, Part VI, Line 11b - Organization's Process to Review Form 990

A copy of the Garden's form 990 is made available by internet access to the Garden's Board prior to filing.

Name of the organization

NEW YORK BOTANICAL GARDEN

13-1693134

Form 990, Part VI, Line 12c - Enforcement of Conflicts Policy

Each year it is the policy of The New York Botanical Garden to distribute a

copy of its Code of Ethics and Conflict of Interest statements to its Board

members and certain employees. This acts as a reminder of the policies and

asks that each person disclose various financial or business relationships

which might present conflicts of interest.

Board members:

Any member of the Board who believes he or she may have a conflict of interest shall disclose the potential conflict and any and all relevant information concerning the situation that gives rise to the potential conflict to the Chair of the Board. (In the event the Board Chair believes he or she may have a conflict, the Board Chair shall disclose it to the Executive Committee.) The Chair of the Board will be responsible for determining whether the perceived conflict is an actual conflict, and if so, for initiating an appropriate course of action.

Employees and volunteers:

Any employee or volunteer who believes he or she may have a conflict of interest shall disclose the potential conflict and any and all relevant information concerning the situation that gives rise to the potential conflict, in writing, to the Vice President and Chief Financial

Officer. (In the event the Vice President and Chief Financial

Officer believes he or she may have a conflict, he or she shall disclose in writing to the President.) The Vice President will be responsible for determining whether the perceived conflict is an actual conflict, and if so, for initiating an appropriate course of action.

Form 990, Part VI, Line 15a - Compensation Process for Top Official

Name of the organization

NEW YORK BOTANICAL GARDEN

Employer identification number

13-1693134

Compensation for the CEO is determined by the Compensation Committee of the organization's Board of Managers. The members of the Compensation

Committee are all independent voting members of the organization's governing body. The CEO is currently serving pursuant to the terms of an employment agreement which was approved by the Compensation Committee after retaining an independent compensation consultant, who presented the Committee with appropriate comparability data. The consultant concluded that the compensation proposed in the employment agreement was reasonable. The Compensation Committee contemporaneously documented its deliberations and decision.

In accordance with the terms of the employment agreement, the CEO's total compensation is composed of a base salary and privately funded deferred compensation, which is intended to serve as a retention incentive. Deferred compensation payable under the terms of the agreement is accrued over the period in which related services are performed.

Form 990, Part VI, Line 15b - Compensation Process for Officers

Compensation for other officers and key employees is based on institutional salary comparisons conducted by the Garden's Human Resources office, which reviews salaries paid by comparable organizations to their officers and key employees. The Compensation Committee periodically reviews the compensation levels of these employees. Merit increases are based on a formal performance evaluation process and approved by the CEO in consultation with the Chairman of the Board of Managers.

Form 990, Part VI, Line 19 - Governing Documents Disclosure Explanation

Copies of governing documents, financial statements, and the 990 are

Name of the organization NEW YORK BOTANICAL GARDEN	Employer ident	ification number	
made available to the public upon request.			
Form 990, Part XI, Line 9 - Reconciliation of Changes -	Other		
Cost of goods sold	\$	1,659,735	
Fundraising events professional fundraising fees	\$	-190,381	
Fundraising event admin expenses	\$ -	1,205,382	
Total - Schedule D, Part XII, Line 2d - \$263,972	\$	0	
Cost of goods sold	\$ -	1,659,735	
Fundraising event admin expenses	\$	1,205,382	
Fundraising events professional fundraising fees	\$	190,381	
Total - Schedule D, Part XIII, Line 2d \$263,972	\$	0	
Form 990, Part XI, Line 9 - Other Changes in Net Assets	Explana	tion	
Gain on valuation of derivative instruments	\$	0	
Loss on valuation of derivative instruments	\$	556,395	
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